



# Public Offer – HK eIPO White Form Service Provider Application Form 公開發售 – 網上白表服務供應商申請表格

Please use this application form if you are a HK eIPO White Form Service Provider and are applying for the Hong Kong Public Offer Shares on behalf of underlying applicants.

倘閣下為網上白表服務供應商並代表相關申請人申請認購香港公開發售股份，請使用本申請表格。

## GUIDE TO COMPLETING THIS APPLICATION FORM

References to boxes below are to the numbered boxes on this Application Form.

### 1 Sign and date the application form in Box 1. Only a written signature will be accepted.

The name and the representative capacity of the signatory should also be stated.

To apply for the Hong Kong Public Offer Shares using this Application Form, you must be named in the list of HK eIPO White Form Service Providers who may provide HK eIPO White Form services in relation to the Hong Kong Public Offer, which was released by the SFC.

### 2 Put in Box 2 (in figures) the total number of the Hong Kong Public Offer Shares for which you wish to apply on behalf of the underlying applicants.

Applicant details of the underlying applicants on whose behalf you are applying must be contained in one data file in read-only CD-ROM format submitted together with this Application Form.

### 3 Complete your payment details in Box 3.

You must state in this box the number of cheque(s) you are enclosing together with this Application Form; and you must state on the reverse of each of those cheque(s) (i) your HK eIPO White Form Service Provider ID and (ii) the file number of the data file containing application details of the underlying applicant(s).

The dollar amount(s) stated in this box must be equal to the amount payable for the total number of the Hong Kong Public Offer Shares applied for in Box 2.

All cheque(s) and this Application Form together with a sealed envelope containing the CD-ROM, if any, must be placed in the envelope bearing your company chop.

For payments by cheque, the cheque must:

- be in Hong Kong dollars;
- be drawn on a Hong Kong dollar bank account in Hong Kong;
- show your (or your nominee's) account name;
- be made payable to "Bank of China (Hong Kong) Nominees Limited – Sanbase Corporation Public Offer";
- be crossed "Account Payee Only";
- not be post dated; and
- be signed by the authorized signatories of the HK eIPO White Form Service Provider.

Your application may be rejected if any of these requirements is not met or if the cheque is dishonoured on its first presentation.

It is your responsibility to ensure that details on the cheque(s) submitted correspond with the application details contained in the CD-ROM or data file submitted in respect of this application.

The Company and the Joint Global Coordinators have full discretion to reject any applications in the case of discrepancies.

No receipt will be issued for sums paid on application.

### 4 Insert your details in Box 4 (using BLOCK letters).

You should write the name, ID and address of the HK eIPO White Form Service Provider in this box. You should also include the name and telephone number of the contact person at your place of business and where applicable, the Broker No. and Broker's Chop.

#### Personal Data

##### Personal Information Collection Statement

The main provisions of the Personal Data (Privacy) Ordinance (Chapter 486 of the Laws of Hong Kong) (the "Ordinance") came into effect in Hong Kong on December 20, 1996. This Personal Information Collection Statement informs the applicant for and holder of the Shares of the policies and practices of the Company and the Hong Kong Branch Share Registrar in relation to personal data and the Ordinance.

#### 1. Reasons for the collection of your personal data

From time to time it is necessary for applicants for securities or registered holders of securities to supply their latest correct personal data to the Company or its agents and/or the Hong Kong Branch Share Registrar when applying for securities or transferring securities into or out of their names or in procuring the services of the Hong Kong Branch Share Registrar.

Failure to supply the requested data may result in your application for securities being rejected or in delay or inability of the Company and/or the Hong Kong Branch Share Registrar to effect transfers or otherwise render their services. It may also prevent or delay registration or transfer of the Hong Kong Public Offer Shares which you have successfully applied for and/or the despatch of share certificate(s), and/or the despatch of e-Auto Refund payment instructions, and/or the despatch of refund cheque(s) to which you are entitled.

It is important that holders of securities inform the Company and the Hong Kong Branch Share Registrar immediately of any inaccuracies in the personal data supplied.

#### 2. Purposes

The personal data of the applicants and the holders of securities may be used, held and/or stored (by whatever means) for the following purposes:

- processing of your application and e-Auto Refund payment instructions/refund cheques where applicable, and verification of compliance with the terms and application procedures set out in this form and the Prospectus and announcing results of allocation of the Hong Kong Public Offer Shares;
- enabling compliance with all applicable laws and regulations in Hong Kong and elsewhere;
- registering new issues or transfers into or out of the names of holders of securities including, where applicable, in the name of HKSCC Nominees;
- maintaining or updating the registers of holders of securities of the Company;
- conducting or assisting to conduct signature verifications and verification of personal information;
- establishing benefit entitlements of holders of securities of the Company, including dividend payments, issues and bonus issues, etc;
- distributing communications from the Company and its subsidiaries;
- compiling statistical information and Shareholder profiles;
- making disclosures as required by laws, rules or regulations;
- disclosing identities of successful applicants by way of an announcement(s) or otherwise;
- disclosing relevant information to facilitate your claim on entitlements; and
- for general or associated purposes relating to the above and/or to enable the Company and the Hong Kong Branch Share Registrar to discharge their obligations to holders of securities and/or regulators and/or any other purposes to which the holders of securities may from time to time agree.

#### 3. Transfer of personal data

Personal data held by the Company and the Hong Kong Branch Share Registrar relating to the holders of securities will be kept confidential but the Company and the Hong Kong Branch Share Registrar may, to the extent necessary for achieving the above purposes or any of them, make such enquiries as they consider necessary to confirm the accuracy of the personal data and in particular, they may disclose, obtain, transfer (within or outside Hong Kong) the personal data of the holders of securities to, from or with any one or more of the following persons and entities:

- the Company or its appointed agents such as financial advisers, the receiving banks and overseas principal registrars;
- where applicants for securities request deposit into CCASS, to HKSCC and HKSCC Nominees, who will use the personal data for the purposes of operating CCASS;
- any agents, contractors or third-party service providers who offer administrative, telecommunications, computer, payment or other services to the Company and/or the Hong Kong Branch Share Registrar in connection with the operation of their respective businesses;
- the Stock Exchange, the SFC and any other statutory, regulatory or governmental bodies; and
- any other persons or institutions with which the holders of securities have or propose to have dealings, such as their bankers, solicitors, accountants or stockbrokers, etc.

#### 4. Retention of personal data

The Company and the Hong Kong Branch Share Registrar will keep the personal data of the applicants and holders of securities for as long as necessary to fulfil the purposes for which the personal data were collected. Personal data which is no longer required will be destroyed or dealt with in accordance with the Ordinance.

#### 5. Access and correction of personal data

The Ordinance provides the holders of securities with rights to ascertain whether the Company or the Hong Kong Branch Share Registrar hold their personal data, to obtain a copy of that data, and to correct any data that is inaccurate. In accordance with the Ordinance, the Company and the Hong Kong Branch Share Registrar have the right to charge a reasonable fee for the processing of any data access request. All requests for access to data or correction of data or for information regarding policies and practices and the kinds of data held should be addressed to the Company at its registered office disclosed in the "Corporate Information" section in the Prospectus or as notified from time to time in accordance with applicable law, for the attention of the company secretary or (as the case may be) the Hong Kong Branch Share Registrar for the attention of the privacy compliance officer for the purposes of the Ordinance.

By signing this form, you agree to all of the above.

## 填寫本申請表格的指引

下文所述的號碼乃本申請表格中各欄的編號。

### 1 在申請表格欄1簽署及填上日期。僅接受親筆簽名。

簽署人的姓名／名稱及代表身份亦須註明。

使用本申請表格申請香港公開發售股份，閣下必須為名列證監會公佈的網上白表服務供應商名單內可就香港公開發售提供網上白表服務的人士。

### 2 在欄2填上閣下欲代表相關申請人申請認購的香港公開發售股份總數(請填寫數字)。

閣下代其提出申請的相關申請人的申請人資料，必須載於連同本申請表格一併遞交的唯讀光碟格式的資料檔案內。

### 3 在欄3填上閣下付款的詳細資料。

閣下必須在此欄註明閣下連同本申請表格夾附的支票數目；並在每張支票的背面註明(i)閣下的網上白表服務供應商編號；及(ii)載有相關申請人詳細申請資料的資料檔案的檔案編號。

此欄所註明的金額須與欄2所申請認購的香港公開發售股份總數應付的金額相同。

所有支票及本申請表格，連同載有該唯讀光碟的密封信封(如有)必須放進蓋有閣下公司印章的信封內。

如以支票繳付股款，該支票必須：

- 以港元開出；
- 從在香港的港元銀行賬戶中開出；
- 顯示閣下(或閣下代名人)的賬戶名稱；
- 註明抬頭人為「中國銀行(香港)代理人有限公司—莊皇集團公開發售」；
- 劃線註明「只准入抬頭人賬戶」；
- 不得為期票；及
- 由網上白表服務供應商的獲授權簽署人簽署。

倘未能符合任何此等規定或倘支票於首次過戶時不獲兌現，閣下的申請可能不獲受理。

閣下須負責確保所遞交支票的詳細資料與就本申請遞交的唯讀光碟或資料檔案所載詳細申請資料相同。

倘出現差異，本公司及聯席全球協調人可全權酌情拒絕接納任何申請。

本公司不會就申請時繳付的款項發出收據。

### 4 在欄4填上閣下的詳細資料(請用正楷填寫)。

閣下必須在此欄填上網上白表服務供應商的名稱、編號及地址。閣下亦必須填上閣下營業地點的聯絡人姓名及電話號碼，及(如適用)經紀號碼及簽署經紀印章。

#### 個人資料

##### 個人資料收集聲明

香港法例第486章《個人資料(私隱)條例》(「《條例》」)的主要條文於2017年12月20日在香港生效。此項個人資料收集聲明向股份申請人和持有人(包括本公司及香港股份過戶登記分處有關個人資料及《條例》)方面的政策及慣例。

#### 1. 收集閣下個人資料的原因

證券申請人或證券持有人(包括其本人)須向本公司或其代理及/或香港股份過戶登記分處提供其最新的準確個人資料。

未能提供所要求的資料可能會導致申請證券被拒或延遲，或本公司及/或香港股份過戶登記分處無法進行過戶或提供服務。此舉亦可能妨礙或延遲登記或轉讓。閣下成功申請的香港公開發售股份及/或寄發股票及/或發送電子自動退款指示及/或寄發閣下應得的退款。

證券持有人所提供的個人資料如有任何錯誤，須立即通知本公司及香港股份過戶登記分處。

閣下及持有人的個人資料，以任何方式被採用、持有及/或保存，以作下列用途：

- 處理閣下的申請及電子自動退款指示/退款支票(如適用)及核實是否符合本表格及招股章程所載條款及申請程序以及公佈香港公開發售股份的分配結果；
- 遵守香港及其他地區的所有適用法律法規；
- 以證券持有人(包括香港結算代理人(如適用))的名義登記新發行證券或轉讓或受讓證券；
- 存置或更新本公司證券持有人的名冊；
- 核對或協助核對簽名或核對或交換任何其他資料；
- 確定本公司證券持有人的受益權利，如股息、供股及紅股等；
- 分發本公司及其附屬公司的通訊；
- 編製統計資料及股東資料；
- 遵照法例、規則或規例的規定作出披露；
- 透過報章公告或其他方式披露獲接納申請人的身份；
- 披露有關資料以便就權益索償；及
- 與上述有關的任何其他附帶或相關用途及/或使本公司及香港股份過戶登記分處能履行彼等對證券持有人及/或監管機構的責任及/或證券持有人可能不時同意的任何其他用途。

#### 3. 轉交個人資料

本公司及香港股份過戶登記分處將會對彼等所持證券持有人的個人資料保密，但本公司及香港股份過戶登記分處可在為作上述任何用途的必要情況下作出彼等認為必要之查詢以確定個人資料的準確性，尤其可能向下列任何及所有人士及機構披露、獲取或轉交(無論在香港境內或境外)證券持有人的個人資料：

- 本公司或其委任的代理，如財務顧問、收款銀行及海外主要股份過戶登記處；
- (如證券申請人要求將證券存入中央結算系統)香港結算或香港結算代理人，其將就中央結算系統的運作使用有關個人資料；
- 向本公司及/或香港股份過戶登記分處提供與其各自業務營運有關的行政、電訊、電腦、付款或其他服務的任何代理、承辦商或第三方服務供應商；
- 聯交所、證監會及任何其他法定、監管機關或政府部門；及
- 證券持有人與其進行或擬進行交易的任何其他人士或機構，如彼等的銀行、律師、會計師或股票經紀等。

#### 4. 保留個人資料

本公司及香港股份過戶登記分處將按收集個人資料所需的用途保留證券申請人及持有人的個人資料。無需保留的個人資料將會根據《條例》銷毀或處理。

#### 5. 查閱及更正個人資料

《條例》規定證券持有人有權確定本公司或香港股份過戶登記分處是否持有其個人資料，並有權索取有關資料的副本及更正任何不準確資料。根據《條例》規定，本公司及香港股份過戶登記分處有權就處理任何查閱資料的要求收取合理費用。就《條例》而言，所有查閱資料或更正資料或索取有關政策及慣例的資料及所持資料類別的要求，均應按照招股章程「公司資料」一節所披露或根據適用法律不時通知的本公司註冊辦事處地址，向公司秘書或(視情況而定)香港股份過戶登記分處的私隱事務主任提出。

閣下簽署本表格，即表示同意上述所各項。

## DELIVERY OF THIS APPLICATION FORM

This completed Application Form, together with the appropriate cheque(s) and a sealed envelope containing the CD-ROM, must be submitted to the following receiving bank by 4:00 p.m. on Thursday, December 21, 2017:

Bank of China (Hong Kong) Limited  
CP2, Bank of China Tower  
1 Garden Road  
Hong Kong

## 遞交本申請表格

此填妥的申請表格，連同相關支票及載有唯讀光碟的密封信封，須於2017年12月21日(星期四)下午四時正前送達下列收款銀行：

中國銀行(香港)有限公司  
香港  
花園道1號  
中銀大廈CP2